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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re application of:
Aronson et al.

Serial No. 09/777,917

Filed: February 5, 2001

Title: *Integrated Memory Mapped Controller
Circuit For Fiber Optics Transceiver*

Attorney Docket No. 9775-0052-999

Date: June 20, 2001

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Initial Patent Examination Division
Assistant Commissioner for Patents
Washington, D.C. 20231

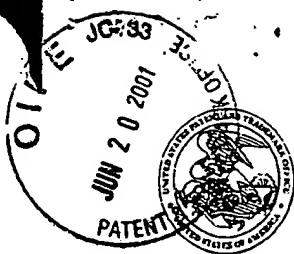
Sir:

In response to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(b) mailed May 16, 2001, attached please find a return copy of the Notice, an executed Declaration by the Inventors, Power of Attorney by Assignee and executed Assignment documents to Finisar Corporation, with Recordation Form Cover Sheet.

The fee for this response is estimated to be \$1,678.00 which includes the Missing Parts surcharge, filing fee with additional claim fee, and assignment recordation fee. The Commissioner is hereby authorized to charge the required fee or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150 (order no. 9775-0052-999). This form is submitted in duplicate for such purpose.

Respectfully submitted,

Gary S. Williams
Reg. No. 31,066
PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, CA 94304
(650) 493-4935



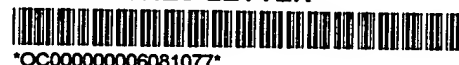
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,917 ✓	02/05/2001 ✓	Lewis B. Aronson ✓	9775-0052-999 ✓

CONFIRMATION NO. 6191 ✓

FORMALITIES LETTER



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PENNIE & EDMONDS LLP
COUNSELLORS AT LAW
3300 Hillview Avenue
Palo Alto, CA 94304

Date Mailed: 05/16/2001

Oath/Decl. + Fees Due: 7/16/01
Oath/Decl. + Fees Final Due: 11/16/01

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$798.
 - \$558 for 31 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1638.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

06/26/2001 MYUSUF1 00000084 161150 09777917 PART 1 - ATTORNEY/APPLICANT COPY

01 FC:101
02 FC:102
03 FC:102
04 FC:105

710.00 CH
558.00 CH
240.00 CH
130.00 CH

06/26/2001 MYUSUF1 00000084 09777917
01 FC:101 710.00 CH
02 FC:102 558.00 CH
03 FC:102 240.00 CH
04 FC:105 130.00 CH

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